

## Section 1.3 - Induction I

Axiom: Suppose  $S \subseteq \mathbb{N}$ ,  $0 \in S$ , and  
if  $k \in S$ , then  $k+1 \in S$ . Then  $S = \mathbb{N}$

↳ called "The Principle of Mathematical Induction"

Q How does this relate to proof by induction?

A:

Principle of Ind.

Start with

$$S = \{n \in \mathbb{N} \mid \varphi(n) \text{ is true}\}$$

$$\textcircled{1} 0 \in S$$

$$\textcircled{2} n \in S \rightarrow n+1 \in S$$

$$\textcircled{3} S = \mathbb{N}$$

Process of proof by ind.

Start with: some property we  
want to prove,  $\varphi(n)$

statement about  $n$ :  
e.g.  $\varphi(n)$  abbrevs " $n$  is even or  
odd"

$\textcircled{1}$  Base case:  $\varphi(0)$  is true  
 $\varphi$  -  $\varphi$

$\textcircled{2}$  Induction step  
Assume  $\varphi(n)$  is true  
prove  $\varphi(n+1)$  is true

$\textcircled{3}$  Conclude:  $\forall n$   $\varphi(n)$   
is true  
"for all"

## Equivalent Axioms

Claim: The Well-Ordering Principle is equivalent to the principle of induction

Partial proof (well-ordering  $\Rightarrow$  induction).

Assume the well-ordering principle holds.

Suppose  $S \subseteq \mathbb{N}$ ,  $0 \in S$ , and that for each  
 $k \in S$ ,  $k+1 \in S$ .

By  $\downarrow$ , assume  $S \neq \mathbb{N}$

Then  $X = \mathbb{N} \setminus S$  is nonempty

By well-ordering,  $X$  has a least elt,  $x$ .

Since  $x$  is the smallest elt of  $X$ ,  $x-1 \notin X$   
not in

Hence,  $x-1 \in S$

So  $(x-1)+1 \in S$  and  $x \in X = \mathbb{N} \setminus S$   
"   
  $\times$

Contradiction

Therefore,  $S = \mathbb{N}$

Aside:  $X \setminus Y = X - Y = \{x \in X \mid x \notin Y\}$



Axiom: The Principle of Strong Induction:

Suppose  $S \subseteq \mathbb{N}$ ,  $0 \in S$ , and for each  $k$ ,  
if  $0, 1, 2, \dots, k \in S$  then  $k+1 \in S$ .

Then  $S = \mathbb{N}$

Fact: Induction  $\Rightarrow$  Strong Induction.

"anything you can prove with strong induction,  
you can prove with induction"

Claim: Strong Induction  $\Rightarrow$  Well-Ordering

Proof: ~~Assume Strong Induction~~

~~WTS: Every nonempty  $X \subseteq \mathbb{N}$  has a least elt.~~

Suppose  $X \subseteq \mathbb{N}$ ,  $X \neq \emptyset$ ,  $X$  has no least  
elt.

WTS: Strong Induction does not hold

$\hookrightarrow$  note: contra positive

Take  $S = \mathbb{N} \setminus X$

Note  $0 \in S$  (if  $0 \in X$ ,  $X$  would have a  
least elt.)

Suppose  $0, 1, \dots, k \in S$ .

Note  $k+1 \notin X$  (if  $k+1 \in X$ ,  $X$  would have a least elt.)

$S$  satisfies strong ind. hypothesis.

But  $S \neq \mathbb{N}$  because  $X \neq \emptyset$

$S$  fails the strong ind. conclusion

So strong ind. does not hold.

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### Examples

① Show that  $\forall n: n^2 = \sum_{j=1}^n (2j-1)$

Recall: 
$$\begin{aligned} \sum_{j=1}^n (2j-1) &= (2 \cdot 1 - 1) + (2 \cdot 2 - 1) \\ &\quad + (2 \cdot 3 - 1) + \dots + (2n - 1) \\ &= 1 + 3 + 5 + \dots + (2n - 1) \end{aligned}$$

Base case:  $n=1$ : LHS:  $n^2 = 1^2 = 1$

RHS:  $\sum_{j=1}^1 (2j-1) = 2 \cdot 1 - 1 = 1$

Step: Assume  $n^2 = \sum_{j=1}^n (2j-1)$

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$$\text{WTS: } (n+1)^2 = \sum_{j=1}^{n+1} (2j-1)$$

$$\text{Note: } (n+1)^2 = n^2 + 2n+1$$

$$= \sum_{j=1}^n (2j-1) + 2n+1$$

$$= \sum_{j=1}^n (2j-1) + 2(n+1) - 1$$

$$= \sum_{j=1}^{n+1} 2j-1$$

② Show that  $\forall n \geq 4 : 2^n < n!$

Base case:  $n = 4$

$$\text{LHS: } 2^4 = 16$$

$$\text{RHS: } 4! = 24$$

$$\text{and } 16 < 24 \checkmark$$

Step: Assume  $2^n < n!$

$$\text{WTS: } 2^{n+1} < (n+1)!$$

$$2^{n+1} = 2^n \cdot 2 < n! \cdot 2 < n! \cdot (n+1) = (n+1)!$$