

## Section 7.1 - The Euler Phi Function

$\phi$  -  $\backslash$ phi

$\varphi$  -  $\backslash$ varphi

Euler's Thm  $\rightarrow$  useful to compute  $\varphi(1001)$

Current approach to  $\varphi(1001)$ :

- for each  $1 \leq x \leq 1001$ , check if  $(x, 1001) = 1 \leftarrow \text{Euclidean algorithm}$
- count the  $x$  s.t.  $(x, 1001) = 1$

Problem: Very inefficient!

Goal: Find a better way of computing  $\varphi(m)$

Easy:  $\varphi(p) = p - 1$  when  $p$  is prime

$$\text{b/c } (\mathbb{Z}/p\mathbb{Z})^\times = \{1, 2, 3, \dots, p-1\}$$

Q: What is  $\varphi(p^2)$ ?

Ex: Compute  $\phi(9)$ ,  $\phi(27)$

$$(\mathbb{Z}/9\mathbb{Z}) = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

↓

$$(\mathbb{Z}/9\mathbb{Z})^{\times} = \{1, 2, 4, 5, 7, 8\}$$

$$\phi(9) = \# \uparrow = 6$$

$$\mathbb{Z}/27\mathbb{Z} = \{1, 2, 3, 4, 5, 6, 7, \dots, 27\}$$

$\times \quad \times \quad \times \dots \times$

$$(\mathbb{Z}/27\mathbb{Z})^{\times} = \{1, 2, 4, 5, 7, 8, \dots, 25, 26\}$$

$$\phi(27) = 18$$

In general:

$$\mathbb{Z}/p^a\mathbb{Z} = \{1, 2, 3, \dots, p-1, \underset{\times}{p}, p+1, \dots, \underset{\times}{p^a}\}$$

Note:  $x$  is not rel. prime to  $p^a$

iff  $x$  is a multiple of  $p$

The elts. of  $\mathbb{Z}/p^a\mathbb{Z}$  which are not rel.  
prime to  $p^a$  are  $p, 2p, 3p, \dots, p(p^{a-1}), p^a$

$$\# \{p, 2p, \dots, p^a\} = \# \{kp \mid 1 \leq k \leq p^{a-1}\}$$

$$\varphi(p^a) = \#(\mathbb{Z}/p^a\mathbb{Z})^{\times} = \#(\mathbb{Z}/p^a\mathbb{Z}) - \# \{p, 2p, \dots, p^a\}$$

$$= p^a - p^{a-1}$$

$$= p^{a-1}(p-1)$$

$$= p^a \left(1 - \frac{1}{p}\right)$$

Cor: If you randomly select

an integer,  $x$ , in  $\mathbb{Z}/p^a\mathbb{Z}$ , the  
probability that  $x \in (\mathbb{Z}/p^i\mathbb{Z})^*$

$$\text{is } \frac{\#(\mathbb{Z}/p^i\mathbb{Z})^*}{\#(\mathbb{Z}/p^a\mathbb{Z})} = \frac{p^i(1 - \frac{1}{p})}{p^a}$$

$$= 1 - \frac{1}{p}$$



independent of  
 $a$ !

## Generalizing

If  $n = p_1^{e_1} \cdots p_g^{e_g}$  is prime fact.  
of  $n$ , then Sur-Tsui's Thm

$$\rightarrow \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/p_1^{e_1}\mathbb{Z} \times \cdots \times \mathbb{Z}/p_g^{e_g}\mathbb{Z}$$

$$(\mathbb{Z}/n\mathbb{Z})^{\times} \cong (\mathbb{Z}/p_1^{e_1}\mathbb{Z})^{\times} \times \cdots \times (\mathbb{Z}/p_g^{e_g}\mathbb{Z})^{\times}$$

$$\varphi(n) = \varphi(p_1^{e_1}) \cdots \varphi(p_g^{e_g})$$

Thm: If  $m, n \in \mathbb{Z}_{>0}$  and

$$\underline{(m, n) = 1}, \text{ then } \varphi(mn) = \varphi(m)\varphi(n)$$

necessary

Pf. Fact:  $(x, mn) = 1$  iff  
 $(x, m) = 1$  and  $(x, n) = 1$

Consider

contains  $\varphi(n)$  elts.  
rel. prime to  $mn$

$1 \bmod m$	1	$1 + m$	$1 + 2m$	...	$1 + (n-1)m$
$2 \bmod m$	2	$2 + m$	$2 + 2m$	...	$2 + (n-1)m$
$3 \bmod m$	3	$3 + m$	$3 + 2m$	...	$3 + (n-1)m$
$\vdots$	$\vdots$	$\vdots$	$\vdots$		$\vdots$
$r \bmod m$	$r$	$r + m$	$r + 2m$	...	$r + (n-1)m$
$\vdots$	$\vdots$	$\vdots$	$\vdots$		$\vdots$
$0 \bmod m$	$m$	$2m$	$3m$	...	$nm$

$\varphi(mn)$  counts the # of  $x$  rel.  
prime to  $mn$  in this grid

Look at row 1: Since each  $x$  in  
row 1 has  $x \equiv 1 \bmod m$  and  $(1, m)$   
 $\rightarrow (x, m) = 1$

The elts of row 1:

$$\{0, 1, 2, 3, \dots, (n-1)\} = \mathbb{Z}/n\mathbb{Z}$$

$\downarrow \times m$

$$\{0, m, 2m, 3m, \dots, (n-1)m\}$$

since  $(m, n) = 1$   
complete  
set of res.  
mod  $n$

$\downarrow + 1$

$$\{1, 1+m, 1+2m, 1+3m, \dots, 1+(n-1)m\}$$

complete set of res. mod  $n$ .

---

Aside  $\{0, 1, 2, 3\}$  is complete set  
of res. mod 4

but  $\{0, 2, 4, 6\}$  is not complete  
set of res. mod 4

Why not?

---

In row 1, there are  $\varphi(n)$  entries  
which are rel. prime to  $n$ .

In row 1, every entry is rel.  
prime to  $m$ .

So there are  $\varphi(n)$  entries in row  
1 which are rel. prime to  
 $m$  and  $n$ , i.e. rel. prime to  $mn$ .

Now consider row 2:

$$2 \quad 2+m \quad 2+2m \quad 2+3m \quad \dots \quad 2+(n-1)m$$

If  $(2, m) > 1$ , note that  
every elt. in row 2 has gcd  
with  $m$  greater than 1.

I.e. every elt. of row 2 is  
not rel. prime to  $mn$ .

→ row 2 contributes 0 elts.  
of  $(\mathbb{Z}/mn\mathbb{Z})^*$



If  $(2, m) = 1$ , then every elt. of row 2 is rel. prime to  $m$ . Similar arg. as for row 1 gives that row 2 contributes  $\varphi(n)$  elts. of  $(\mathbb{Z}/m\mathbb{Z})^{\times}$

For row  $r$ .

if  $(r, m) > 1$ , then row  $r$  contributes 0 elts. of  $(\mathbb{Z}/m\mathbb{Z})^{\times}$

if  $(r, m) = 1$ , then row  $r$  contributes  $\varphi(n)$  elts of  $(\mathbb{Z}/m\mathbb{Z})^{\times}$

There are  $\varphi(m)$  rows, each  
of which gives  $\varphi(n)$  elts.  
of  $(\mathbb{Z}/mn\mathbb{Z})^{\times}$

$$\text{So } \varphi(mn) = |(\mathbb{Z}/mn\mathbb{Z})^{\times}| = \varphi(m)\varphi(n)$$

Q: Is it true that

$$\varphi(mn) = \varphi(m)\varphi(n)$$

for all  $m, n$ ?

A: No

$$\varphi(4) = 2$$

$$\varphi(2) \varphi(2) = 1 \cdot 1 = 1$$

Q: Do there exist  $m, n$   
with  $(m, n) > 1$  and  
 $\varphi(m) \varphi(n) = \varphi(mn)$ ?

Examples

$$\cdot \varphi(1001) = \varphi(7 \cdot 11 \cdot 13) = \varphi(7) \varphi(11 \cdot 13)$$

$\uparrow$   
 $(7, 11 \cdot 13) = 1$

$$\rightarrow = 6 \cdot \varphi(11) \varphi(13) = 6 \cdot 10 \cdot 12 = 720$$

$$\cdot \varphi(36) = \varphi(2^2 \cdot 3^2) = \varphi(2^2) \cdot \varphi(3^2)$$

$\uparrow$   
 $(2^2, 3^2)$

$$= 2^{2-1} (2-1) \cdot 3^{2-1} (3-1) = 12$$

$$\begin{aligned}
 \cdot \varphi(p_1^{e_1} \dots p_g^{e_g}) &= \varphi(p_1^{e_1}) \varphi(p_2^{e_2} \dots p_g^{e_g}) \\
 (\cdot n = p_1^{e_1} \dots p_g^{e_g}) &= \varphi(p_1^{e_1}) \varphi(p_2^{e_2}) \varphi(p_3^{e_3} \dots p_g^{e_g}) \\
 &\vdots \\
 &= \varphi(p_1^{e_1}) \dots \varphi(p_g^{e_g}) \\
 &= (p_1^{e_1-1})(p_1-1) \dots (p_g^{e_g-1})(p_g-1) \\
 &= p_1^{e_1-1} \dots p_g^{e_g-1} (p_1-1) \dots (p_g-1) \\
 &= p_1^{e_1} \left(1 - \frac{1}{p_1}\right) \dots p_g^{e_g} \left(1 - \frac{1}{p_g}\right) \\
 &= p_1^{e_1} \dots p_g^{e_g} \left(1 - \frac{1}{p_1}\right) \dots \left(1 - \frac{1}{p_g}\right)
 \end{aligned}$$

$$\varphi(n) = n \left(1 - \frac{1}{p_1}\right) \dots \left(1 - \frac{1}{p_g}\right)$$

$$\varphi(n) = n \prod_{\substack{p|n \\ p \text{ prime}}} \left(1 - \frac{1}{p}\right)$$

You can use the following w/o proof on HW.

① Find all  $n \in \mathbb{Z}_{>0}$  with  $\varphi(n) = 1$

Note  $\varphi(1) = 1$

$$\text{(b/c } \varphi(1 \cdot n) = \varphi(1) \varphi(n) \text{)}$$

Otherwise  $n = p_1^{e_1} \cdots p_g^{e_g}$  for  $p_1, \dots, p_g$   
distinct primes,  $e_1, \dots, e_g > 0$

$$1 = \varphi(n) = \underbrace{p_1^{e_1-1}}_1 \cdots \underbrace{p_g^{e_g-1}}_1 \underbrace{(p_1-1)}_1 \cdots \underbrace{(p_g-1)}_1$$

$\downarrow$   
 $p_1 = 2$

So 2 is the only prime factor of  $n$

$$2^{e_1-1} = 1 \rightarrow e_1 = 1 \rightarrow n = 2$$

Conclusion :  $n = 1, 2$  are the only possibilities

② Find all  $n \in \mathbb{Z}_{>0}$  s.t.  $\varphi(n) = 2$

$$n \neq 1$$

$$n = p_1^{e_1} \cdots p_g^{e_g}$$

$$2 = \varphi(n) = p_1^{e_1-1} \cdots p_g^{e_g-1} (p_1-1) \cdots (p_g-1)$$

Suppose  $e_i > 1$  for some  $i$  (WLOG,  $e_1 > 1$ )

$$\text{So } p_1^{e_1-1} \mid 2 \rightarrow p_1 = 2, e_1 = 2$$

$\rightarrow$  no more primes dividing  $n$

$$\rightarrow n = 2^2 = 4$$

Suppose  $e_i = 1$  for all  $i$

$$\text{So } p_i - 1 = 2 \text{ for some } i \rightarrow p_i = 3$$

$$\text{So } n = 3, 6$$

Conclusion :  $\varphi(n) = 2 \rightarrow n = 3, 4, 6$

Thm: Let  $n \in \mathbb{Z}_{>0}$ . Then

$$\sum_{\substack{d|n \\ d>0}} \varphi(d) = n$$

Ex:  $n = 18$

Divisors of 18: 1, 2, 3, 6, 9, 18

$\varphi(1)$	$\varphi(2)$	$\varphi(3)$	$\varphi(6)$	$\varphi(9)$	$\varphi(18)$
"	"	"	"	"	"
1	1	2	2	6	6

$$\rightarrow \sum_{d|18} \varphi(d) = 18 \quad \checkmark$$

Note:  $(x, 18)$  is a divisor of 18

Q: Which elts. of  $\mathbb{Z}/18\mathbb{Z}$  have  
 $(x, 18) = 1$ ?

$$\rightarrow x = 1, 5, 7, 11, 13, 17$$

→ There are  $\varphi(18)$

Q: Which elts. of  $\mathbb{Z}/18\mathbb{Z}$  have  $(x, 18) = 2$ ?

→  $x = 2, 4, 8, 10, 14, 16$

Note  $(x, 18) = 2 \iff \left(\frac{x}{2}, 9\right) = 1$

$\frac{x}{2} = 1, 2, 4, 5, 7, 8$

↳ reduced res. sys. for 9

→  $\varphi(9)$  numbers here

Q: Which elts. of  $\mathbb{Z}/18\mathbb{Z}$  have  $(x, 18) = 3$ ?

$x = 3, 15$

$(x, 18) = 3 \iff \left(\frac{x}{3}, 6\right) = 1$



$$\frac{x}{3} = 1, 5$$

$\hookrightarrow$  reduced res. sys. for 6

$\rightarrow \varphi(6)$  numbers here

For elts. of  $\mathbb{Z}/18\mathbb{Z}$   
with  $(x, 18) = 6$ , we

get  $x = 6, 12$

$\rightarrow \varphi(3)$  numbers here

For elts. of  $\mathbb{Z}/18\mathbb{Z}$

$(x, 18) = 9$ , we get

$$x = 9$$

$$\rightarrow \varphi(2)$$

For elts. of  $\mathbb{Z}/18\mathbb{Z}$  with  
 $(x, 18) = 18$ , we get

$$x = 0$$

$$\rightarrow \varphi(1)$$

Pf that  $\sum_{d|n} \varphi(d) = n$ :

For each  $d|n$ , define

$$C_d := \{0 \leq x < n : (x, n) = d\}$$

Note that these sets are disjoint and

$$\mathbb{Z}/n\mathbb{Z} = \bigcup_{d|n} C_d$$

$$x \in C_d \quad \text{iff} \quad 0 \leq x < n \text{ and } (x, n) = d$$

$$\quad \text{iff} \quad 0 \leq \frac{x}{d} < \frac{n}{d} \text{ and } \left(\frac{x}{d}, \frac{n}{d}\right) = 1$$

there are  $\varphi\left(\frac{n}{d}\right)$  such numbers

$$\rightarrow |C_d| = \varphi\left(\frac{n}{d}\right)$$

$$n = \#(\mathbb{Z}/n\mathbb{Z}) = \# \left( \bigcup_{d|n} C_d \right)$$

$$= \sum_{d|n} \# C_d = \sum_{d|n} \varphi\left(\frac{n}{d}\right)$$

$$= \sum_{d|n} \varphi(d)$$

$$n = 18$$

$$\sum_{d|18} \varphi\left(\frac{18}{d}\right) = \varphi(18) + \varphi(9) + \varphi(6) + \varphi(3) + \varphi(2) + \varphi(1)$$

$$\sum_{d|18} \varphi(d) = \varphi(1) + \varphi(2) + \varphi(3) + \varphi(6) + \varphi(9) + \varphi(18)$$